

TO:	Mail Stop 8	<b>SOLICITOR</b>	<b>REPORT ON THE</b>
	Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	<b>AUG 17 2007</b> <b>U.S. PATENT &amp; TRADEMARK OFFICE</b>	<b>FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK</b>

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Kentucky on the following ☐ Patents or ☒ Trademarks:

DOCKET NO. 5:01-cv-237-JMH	DATE FILED 6/4/2001	U.S. DISTRICT COURT Eastern District of Kentucky, Central Division at Lexington
PLAINTIFF Lexmark International, Inc.; Pitney-Bowes, Inc. (Plaintiff in consolidated cases 02-63 & 02-100)		DEFENDANT Pitney-Bowes, Inc.; Apple Computer et al (defendants in consolidated case 02-63); Xerox Corporation et al (defendants in consolidated case 02-100)
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 4,386,272	5/31/1983	Pitney Bowes Inc.
2 4,809,021	Not provided	Pitney Bowes Inc.
3		
4		
5		

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY <input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1			
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT Stipulation and Order of Dismissal filed 5/28/2004 - dismissed
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CLERK Leslie G. Whitmer	(BY) DEPUTY CLERK Mitchell W. Zegafuse <i>MWZ</i>	DATE 8/15/2007
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Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director  
Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

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**IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF KENTUCKY**

JUN 4 2001

AT LEXINGTON  
LESLIE G. WHITMER  
CLERK U.S. DISTRICT COURT

LEXMARK INTERNATIONAL, INC.,

Plaintiff,

v.

PITNEY-BOWES, INC.,

Defendant.

Civil Action No. 01-237

JURY TRIAL DEMANDED

**COMPLAINT**

Plaintiff, Lexmark International, Inc. ("Lexmark"), by its attorneys, and for its Complaint against Defendant, Pitney-Bowes, Inc. ("Pitney-Bowes"), alleges as follows:

1. Plaintiff, Lexmark, a Delaware corporation, has its principal place of business at 740 New Circle Road NW, Lexington, Kentucky.
2. Defendant, Pitney-Bowes, is a Delaware corporation has a principal place of business at One Elmcroft Road in Stamford, Connecticut.
3. Lexmark seeks a declaratory judgment pursuant to Title 28, UNITED STATES CODE §§ 2201 and 2202 with respect to a controversy between the parties regarding the non-infringement by Lexmark of Pitney-Bowes' U.S. Patent Nos. 4,386,272 ("the '272 patent") and 4,809,021 ("the '021 patent") and regarding the invalidity of these patents.
4. This Court has subject matter jurisdiction pursuant to the Federal Declaratory Judgment Act, Title 28, UNITED STATES CODE, §§ 2201 and 2202, over the

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By Leslie G. Whitmer Clerk  
Date 6-15-2001 Deputy Clerk

controversy of this Complaint which arises under the laws of the United States concerning actions relating to patents, 28 UNITED STATES CODE § 1338(a).

5. Venue in this Judicial District is proper under 28 UNITED STATES CODE § 1391.

6. Upon information and belief, Pitney-Bowes is the owner of record of the '272 patent and the '021 patent .

7. The '272 patent and the '021 patent relate to alleged improvements in laser printing systems and methods.

8. Lexmark markets in the United States laser printing systems which do not utilize the improvements which are subject of Pitney-Bowes '272 and '021 patents.

9. Pitney-Bowes has charged Lexmark with infringement of the '272 patent and has alleged infringement of the '021 patent.

10. Lexmark has not infringed any claim of the '272 patent or the '021 patent; however, as the result of the charges and allegations of infringement, Lexmark has a reasonable apprehension that Pitney-Bowes may sue Lexmark for infringement of the '272 patent and the '021 patent.

11. Pitney-Bowes has asserted that the '272 patent and the '021 patent are valid.

12. Lexmark believes that the '272 patent and the '021 patent are invalid under statutory and decisional law, for failing to satisfy one or more requirements for patentability set forth in Title 35, Part II, UNITED STATES CODE and the rules, regulations, and law pertaining thereto, including, *inter alia*, the requirements of one or more of the provisions of 35 U.S.C. § 101, § 102, § 103, § 112, and § 120.

13. There is therefore an actual present justiciable controversy as to the validity of the '272 patent and the '021 patent and as to infringement of these patents by Lexmark.

WHEREFORE, Plaintiff Lexmark requests an entry of judgment and relief against Pitney-Bowes:

A. declaring that Lexmark does not infringe the '272 patent and the '021 patent;

B. declaring that the '272 patent and the '021 patent are invalid;

C. awarding of costs, expenses, and reasonable attorneys' fees to Lexmark;  
and

D. awarding such other and further relief at this Court may deem just and proper.


**DEMAND FOR A JURY TRIAL**

Plaintiff hereby demands a trial by jury as to all issues triable by a jury.

Respectfully submitted,

STOLL, KEENON & PARK

June 4, 2001

By: 

William L. Montague, Jr.  
STOLL, KEENON & PARK  
201 E. Main Street  
Suite 1000  
Lexington, Kentucky 40507-1380  
Telephone: (859) 231-3946  
Fax: (859) 253-1093

Of Counsel:

Stephen G. Rudisill  
Steve Z. Szczepanski  
JENKENS & GILCHRIST  
225 W. Washington St.  
Suite 2600  
Chicago, Illinois 60606-3418  
Telephone: (312) 425-3900  
Fax: (312) 425-3909

Attorneys for the Plaintiff,  
LEXMARK INTERNATIONAL, INC.

EXHIBIT A

Eastern District of Kentucky  
**FILED**

**MAY 28 2004**

IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF KENTUCKY  
LEXINGTON

AT LEXINGTON  
LESLIE G. WHITMER  
CLERK U.S. DISTRICT COURT

LEXMARK INTERNATIONAL INC.,

Plaintiff,

vs.

PITNEY BOWES INC.,

Defendant.

CIVIL ACTION NOS.

01-237-JMH and  
02-63-JMH

**I. STIPULATION AND ORDER OF DISMISSAL**

IT IS HEREBY STIPULATED by and between the undersigned counsel for the parties that pursuant to Rule 41(a)(1)(ii) of the Federal Rules of Procedure, all claims that were asserted or could have been asserted in the above captioned Action by Defendants/Counter-Defendants Pitney Bowes Inc. ("PB") against Plaintiffs/Counter-Plaintiffs Lexmark International, Inc. ("LEXMARK"), and all compulsory counterclaims that were asserted or could have been asserted in this Action by LEXMARK against PB, shall be and hereby are dismissed with prejudice and forever barred, with each party foregoing any right of appeal. Each party shall bear its own costs.

Entered this 28<sup>th</sup> day of May, 2004.

Joseph M. Hood  
Judge Joseph M. Hood  
United States District Court

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copy of the original filed in my office  
LESLIE G. WHITMER, CLERK  
Date 8-15-2007 Deputy Clerk

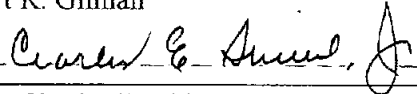
**EXHIBIT A**

Submitted by:

STOLL, KEENON & PARK, LLP  
Charles E. Shivel, Jr.  
Steven B. Loy  
Hanly A. Ingram

MINTZ, LEVIN, COHN, FERRIS GLOVSKY &  
POPEO PC  
Paul J. Hayes  
Eugene A. Feher  
Robert R. Gilman

by:

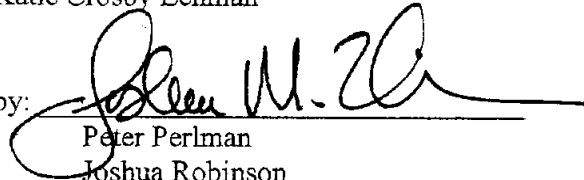


Charles E. Shivel, Jr.  
Attorneys for Plaintiffs/Counter-Plaintiffs  
LEXMARK INTERNATIONAL, INC.

PETER PERLMAN LAW OFFICES  
Peter Perlman  
Joshua Robinson  
388 South Broadway  
Lexington, KY 40508

ROBINS KAPLAN, MILLER & CIRESI  
Michael V. Ciresi  
Jan M. Conlin  
Katie Crosby Lehman

by:



Peter Perlman  
Joshua Robinson  
Attorneys for Defendant/Counter-Defendant  
PITNEY BOWES INC.